**ANNEX VI*[[1]](#footnote-1)***

**Template of a programme for the AMIF, the ISF and the BMVI – Article 16(3)**

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| --- | --- |
| **CCI number** |  |
| **Title in English** | **BMVI programme for Estonia** |
| **Title in the national language** | [255] |
| **Version** | 1 |
| **First Year**  | 2021 |
| **Last Year**  | 2027 |
| **Eligible from** | 01.01.2021 |
| **Eligible until** | 31.12.2029 |
| **Commission Decision Number**  |  |
| **Commission Decision Date**  |  |
| **Member State amending decision number** |  |
| **Member State amending decision entry into force date** |  |

1. **Programme strategy: main challenges and policy responses**

*Reference: Article 17(3)(a) )(ii bis), (iii), (iv) and (vii) and CPR*

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| *This section explains how the programme will address the main challenges identified at the national level based on local, regional and national needs assessments and/or strategies. It provides an overview of the state of implementation of relevant EU acquis and the progress achieved on EU action plans, and describes how the Fund will support their development through the programming period.*  |

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| *Text field [15 000]***The BMVI programme supports in synergy with other programmes and resources the achievement of goals stated in national strategies, mainly in the Internal Security Development Plan which consists of enhanced border security and migration measures, and Foreign Policy Development Plan which sets goals for enhanched visa processing policy and international relations. Those development plans are complimented by the Estonian Information Society Development Plan, Tranportation Development plan, Estonian Marin Strategy, etc.****The Estonian National Integrated Border Management (IBM) strategy is an integral part of the Enhanced Border Security Program of the Internal Security Development Plan. IBM is developed to fulfil the national obligation stipulated in the Regulation (EU) 2016/1624 of the European Parliament and of the Council of 14 September 2016 on the European Border and Coast Guard.****Estonian Police and Border Guard Board (PBGB) is the main authority responsible for the development and implementation of the IBM in Estonia.** **The main partners in this field are the Estonian Ministry of Foreign Affairs, the Tax and Customs Board, the Maritime Administration, the Environmental Inspectorate, the Estonian Defence Forces, the Defence League and the Estonian Internal Security Service.****The IBM takes fully into account the strategic objectives of the Technical and Operational Strategy for European Integrated Border management (TO EIBM):****1. Reduced vulnerability of the External Borders based on Comprehensive Situational Awareness;****2. Safe, Secure and Well-Functioning EU External Borders;****3. Sustained European Border and Coast Guard Capabilities.****The biggest challenges for border management in Estonia are related to ensuring fluent but secure border traffic, building up border infrastructure at the Estonian-Russian land border for effective surveillance of the EU external border, and ensuring interoperability and operationalization of the EU large-scale IT systems (e.g. EES, ETIAS, SIS).****The interfaces between national boder control and migration surveillance systems and the National Unit Interface are currently being created. Preparations for creating Central Access Point and implementing new SIS regulations and are well advancing.****National border and coast guard team for supporting the European Border and Coast Guard teams is established in the PBGB. Technical equipment reserve is created and operational assistance to MSs is provided under Frontex coordination, if necessary. The PBGB participates in Frontex joint operations, in rapid reaction operations and in return related interventions. The PBGB is represented by a Permanent Member and by an Alternate Member in the Frontex’s Management Board. Systematic participation in Frontex risk analysis, pooled resources and training standards development and in other working groups and training events is sustainable. Deployment of Frontex-trained and certified experts and advisers contribute to the further development of the national IBM system. Regular information sharing with Frontex is in line with the agreed format regarding FRAN, EUROSUR and vulnerability assessment. National Coordination Center (NCC) is responsible for effective information exchange with EU MSs and Frontex.** **Participation in Frontex operations since 2006 has had an undeniable impact on improving the efficiency of border management and on ensuring the internal security of the state. Substantial future enlargement of Frontex requires readiness to allocate additional staff for participation in Frontex activities. Interoperability of Frontex trained staff would be an advantage in the deployment of PBGB staff members at Frontex led joint actions.****In previous years Estonia have used mostly national budget for implemetning EU aquis. As complimentary resources the ISFB funding has also provided valuable aid for IBM.** **In 2019, standard operating procedure to enhance preparedness to be able to increase the accommodation capacity in an event of a large-scale migratory flow and ensure sufficient dedicated accommodation capacity for unaccompanied minors was developed and mass inlux training was carried out.****TheBMVIprogramme will focus on the similar activities as External Borders and Visa instrument of the Internal Security Fund (ISFB) in the period of 2014-2020. The programme provides for a number of actions that support increasing the surveillance of the EU external borders and border control capabilities. The actions contribute to the European Border Surveillance System (EUROSUR), the improvement of information exchange in border control and surveillance, ensuring control of the external border in accordance with the common standards of the EU and uniform application of the Schengen acquis. Large part of the measures support either maintaining or somewhat expanding the present national capability to manage external borders as well as contribute to solidarity.****The Schengen evaluation of Estonia's visa policy took place in October 2018 at the Estonian Embassy in Astana and the Consular Office in St. Petersburg. In total, 56 recommendations were issued to Estonia, three of which are still to be completed. Two recommendations are related to the introduction of a new visa register, completion is expected in the summer of 2021, and the third concerns the compliance of the visa register with the data protection requirements and will be fulfilled by the end of 2021. State budget was used for completion of the recommendations.****In the area of common visas policy, it is important to continue with regular trainings of consular staff to ensure harmonised application of Visa Code and VIS regulation. Implementation of law and training must go hand-in-hand with use of modern equipment, such as facial recognition systems and it is also important to go forward with initiatives taken in the field of digital visas. Completion of the developments related to common Visa Information System of the European Union (VIS) is a priority.** **Activities in and in co-operation with third countries remain one of the key issues in regard of implementing and complimenting IBM and consular co-operation.**  |

1. **Specific objectives** (repeated for each specific objective other than technical assistance )

*Reference: Article 17(2) and 17(4)*

**2.1. Title of the specific objective [300]**

**Support an effective European integrated border management at the external borders implemented by the European Border and Coast Guardas a shared responsibility of the European Border and Coast Guard Agency *(EBCGA)* and of the national authorities responsible for border management, to facilitate legitimate border crossings, to prevent and detect illegal immigration and cross-border crime and to effectively manage migratory flows**

**2.1.1. Description of a specific objective**

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| *This section describes, for each specific objective, the initial situation, main challenges and proposes responses supported by the Fund. It describes which implementation measures are addressed with the support of the Fund; it provides an indicative list of actions within the scope of Articles 3 and 4 of the BMVI Regulation.**In particular: For operating support, it provides a justification in line with Article 16 of the BMVI Regulation. It includes an indicative list of beneficiaries with their statutory responsibilities, main tasks to be supported.* *Planned use of financial instruments, if applicable.**Text field (16 000 characters)***Border security situation at the external borders of the Republic of Estonia is stable, although some unpredictable situations may occur. For now, the level of irregular immigration threat measured by its magnitude and likelihood remains moderate at external land and air borders and low at sea borders.** **The BMVI programme in addition to other resources will provide funding for measures in the area of border management to tackle the main challenges mentioned in the Section 1.****Estonian IBM model is built on four tiers — activities in third countries, cooperation with neighbouring countries, border control and measures at the area of free movement.****Border control measures are based on Schengen best practices. Systematic risk analysis products are developed by using CIRAM. Logically arranged intelligence management supports planning of service and other border-related activities, also optimal use of resources in accordance with identified threats, vulnerabilities and impact.****In their daily service, all border control officials follow the principles of the Code of Ethics for Officials approved on 11 March 2015. The fundamental values of an official are lawfulness, focus on people, trustworthiness, professionalism, impartiality, openness and cooperation. The respect of fundamental rights, including data protection, non-discrimination, international protection, the specific needs of vulnerable persons, including children and the principle of non-refoulement form the basis of all border control activities. All neccesary measures, including the procedures with persons undergoing the above-mentioned conditions are granted at all levels of border management.****Estonia as a country with the EU’s external border will be influenced by 3rd countries in the neighbourhood in terms of changes in their political, economic or security situation as well as by a good connection between Estonia and other EU MSs. There is a high probability of having a primary impact at the borders if the situation in a neighbouring 3rd country changes as it will influence the passenger flow, increase the threats related to cross-border criminality and other border-related violations or non-traditional threats at the external border of Estonia. Analysis of the IBM system, its functioning and analysis of vulnerabilities for mitigating possible risks in every aspect of an identified threat is essential.** **To maintain a situational picture, Estonia upholds effective cooperation in risk analysis, information exchange and in operational activities with international and EU Agencies, such as Interpol, EUROPOL, Frontex, EASO, eu-LISA, etc. and with other states, organisations and bodies.****Estonia participates in JITs (Joint Investigation Teams) and in operations focusing on the detection and investigation of cross-border crime in a bilateral or multilateral form or through EU Agencies. Continuous cooperation with EU Member States, Frontex and other EU institutions at a required level to enhance effectiveness of contributions to the EU and national border management is important. Continuous cooperation with the main authorities responsible for border management such as the Tax and Customs Board, the Maritime Administration, the Environmental Inspectorate, the Estonian Defence Forces, the Defence League, etc. to ensure costeffectiveness, to avoid overlapping of tasks and to enhance the joint use of capacity in case of unpredictable situations putting external borders under disproportional pressure.****EUROSUR system is in a continuous development. It should enable enhanced inter-agency cooperation in data collection at the BCP level between customs and border guards as well as enhanced cooperation and information exchange with the Latvian, Lithuanian and Finnish border authorities in the future. Estonia participates actively in the development of systems for improving situational awareness at the EU level. At the national level, the focus is to develop IT systems jointly with different authorities and ministries, thereby supporting the exchange of information and cooperation between authorities. The development is foreseen to take place in line with the** **development of the Schengen Borders Code and other legal acts as regards EUROSUR, aiming at enhanced interoperability.****Since October 1 2014, NCC Estonia has been operating as the Single Point of Contact (SPoC) of the PBGB. Additionally, besides NCC Estonia, it houses SIRENE Bureau, National Europol (ENU), INTERPOL (NCB), Prüm NCP, FRONTEX NFPoC and Internal Duty Service for other Police matters. Such solution allows access to the broadest range of relevant national and international law enforcement databases in order to efficiently manage direct information exchange between the competent national and international authorities. The SPoC unit operates according to the SPOC manual.** **The NCC delivers the EUROSUR National Situational Picture (NSP)/ European Situational Picture (ESP) to all involved police units in the PBGB at all levels as well as to other national authorities such as the Tax and Customs Board, the Estonian Internal Security Service, the Ministry of Defence, the Ministry of Foreign Affairs, the Ministry of the Interior, the Defence Forces, Europol and SIRENE Bureau.****Participation in Frontex operations since 2006 has had an undeniable impact on improving the efficiency of border management and on ensuring the internal security of the state. Substantial future enlargement of EBCG requires readiness to allocate additional staff for participation in its activities. For better co-ordination of setting up the Standing Corps a SNE will be seconded to the EBCGA.****In addition to cooperation with Frontex, cooperation regarding the implementation of EU large-scale IT systems is taking place with eu-LISA. The PBGB participates in EU Working Groups activities and cooperates with international organisations such as IOM, OSCE, UNHCR, and in other forms of inter-agency cooperation — BSRBCC (Baltic Sea Region Border Control Cooperation), BSTF (Baltic Sea Task Force) and in the work of Senior Officials of Baltic Council of Ministers.****ISFB funding has facilitated the procurement of modern, state-of-theart equipment, such as patrol cars, special transport means, border control and migration surveillance devices, multirotors, water crafts, ABC gates, etc. It has helped to increase reaction capability, which has a direct link with the efficiency and quality of border control. Since the technology is rapidly evolving and vehicles aquired in 2015 to 2018 are reaching their end of a lifcycle, the BMVI funding will continue this practice.****Indicative list of actions:*** **Measures related to development of EUROSUR (ICT systems, equipment, technology, etc.)**
* **Measures related to interoperability package and EU large-scale IT systems**
* **Measures related to CIRAM**
* **Measures aiming at the development of the national components of EBCG (e.g. SNE secondment to EBCGA, etc.)**
* **Trainings and analysis**
* **Border control equipment (boats, patrol vehicles, UTVs, UAVs, cameras, etc.)**
* **Migration monitoring equipment (document readers, biometric data technology, etc.)**

**Operating support:** **Estonian Police and Border Guard Board is the main authority responsible for the development and implementation of the integrated border management strategy in Estonia. The main partners in this field are the Estonian Ministry of Foreign Affairs, the Tax and Customs Board. The Development and IT Centre of the Ministry of the Interior is resposible of all ICT systems development and mainenance in the domain of borders and migration. Those authorities would be subjects to the operating support.****Border and migration control ICT systems and equipment maintenance as well as repair costs would be covered by operating support. Staff cost might be considered to cover under the OS..****Financial instruments: Not applicable.** |

**2.1. Title of the specific objective [300]**

**Support a common visa policy to facilitate legitimate travel and prevent migratory and security risks.**

**2.1.1. Description of a specific objective**

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| ***This section describes, for each specific objective, the initial situation, main challenges and proposes responses supported by the Fund. It describes which implementation measures are addressed with the support of the Fund; it provides an indicative list of actions within the scope of Articles 3 and 4 of the BMVI Regulations.******In particular: For operating support, it provides a justification in line with Article 16 of the BMVI Regulation. It includes an indicative list of beneficiaries with their statutory responsibilities, main tasks to be supported.*** ***Planned use of financial instruments, if applicable.******Text field (16 000 characters)*****The Estonian Ministry of Foreign Affairs (MFA) has assessed that at the foreign representations of Estonia, where Schengen visa applications are processed, are in compliance with security requirements and the work is organized the way that overcrowding or other inconveniences are ruled out. Therefore, no actions in this area are planned in the BMVI programme.****69 employees in Estonian MFA are currently dealing with visa questions (32 consuls, 11 expat technical staff and 26 local technical staff).****Schengen visas are processed in 21 Estonian Representations all around the world. Estonia also represents 6 Member States (Netherlands, Lithuania, Latvia, Finland, Sweden and Denmark) in processing Schengen visas in 6 countries.****Since the end of 2019, Estonia is represented by 17 Schengen Member States (Austria, Belgium, Czech Republic, Finland, Germany, Hungary, Latvia, Poland, Portugal, France, Sweden, Germany, Slovenia, Switzerland, Czech Republic, Hungary), in 104 countries (117 locations).****Estonia signed co-operation contracts with an external service provider in Russia (2011), and Ukraine, Belarus, Turkey, India and in China (2015). Under current contracts, 144 visa centers provide service in abovementioned five countries.****Officials dealing with visa processing are trained regularly to ensure harmonized application of the Visa Code, the VIS Regulation, etc. Trainings and internship missions have partially been funded by the ISFB and this will be continued under BMVI.** **Estonia sees BMVI funding useful for enhancing consular co-operation between Member States. Study visits to embassies of the Member States representing Estonia in a third country could be organized. The Visa Code foresees the obligation to monitor external service providers. Visa centers audits performed in cooperation with other Member States could be financed. This form of cooperation is already in practice (for example in Austria and Germany, Germany and the Netherlands, and Estonia and Latvia and Lithuania are auditing the visa center in Istanbul at the end of April 2020) and could be further pursued.****To ensure reliable verification and identification of visa applicants, it is necessary to process biometric data in the VIS. ISFB funds were used for upgrading workplaces in the consular offices; computers and fingerprint scanners were purchased. In addition, the Ministry of Foreign Affairs has acquired and installed 45 Dermalog LF10 scanners for capturing biometric data (10 fingerprints) financed from the state budget. The fingerprint scanners, which were purchased in 2015, need replacement within next 5 years. In addition, facial recognition equipment needs to be acquired.****Estonia joined VIS in 2011 and introduced the system in all of the foreign representations were visas were issued. VIS Mail, VIS Mail 2, VISA Code Plus and further development of the visa consultation system have been rolled out in time.****State budget, ERDF as well as ISFB funding has been used to implement Visa Information System. The specialized VIS developing team in the Development and IT Centre of the Ministry of the Interior is consistently making smaller adjustments to the system. Next generation VIS and its interfaces with other EU large-scale IT systems are planned to be financed under BMVI.****The European Commission has initiated the transition to a digital visa procedure based on a proposal made by the Estonian Presidency. An analysis report was prepared in 2019 and a working group on digitization was convened. Among other things, the development of an online visa application is important in this context. After the Visa Register 2.0 is completed, a new, updated, pre-filled, online visa application could be created. The current one has been in use since 2012 and is no longer sufficiently user friendly.****Indicative list of actions:*** **VIS related developments**
* **Acquisition of biometric data equipment**
* **Consular staff trainings and internships**
* **External service providers audits**

**Operating support:** **The Estonian Ministry of Foreign Affairs (MFA) is resposible for creation of visa policy. Main implementer next to the MFA is Estonian Police and Border Guard Board. The specialized VIS developing team works in the Development and IT Centre of the Ministry of the Interior. Those three authorities would be subjects to the operating support.****VIS mainenance costs and possible equipment repair costs would be covered by OS to ensure smooth operation of system. Staff costs might be considered to be covered under the OS.****Financial instruments: Not applicable.** |

**2.1.2 Indicators**

*Reference: Article 17(4)(e) CPR*

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| **Table 1: Output indicators** |
| **Specific objective** | **ID [5]** | **Indicator [255]**  | **Measurement unit** | **Milestone (2024)** | **Target (2029)** |
|  |  |  |  |  |  |

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| **Table 2: Result indicators** |
| **Specific objective** | **ID [5]** | **Indicator [255]** | **Measurement unit** | **Baseline or reference value** | **Reference year** | **Target (2029)** | ***[Measurement unit for target][[2]](#footnote-2)*** | **Source of data [200]** | **Comments [200]** |
|  |  |  |  |  |  |  |  |  |  |

**2.1.3 Indicative breakdown of the programme resources (EU) by type of intervention**

*Reference: Article 17(5) CPR and Article 12(15) of the BMVI Regulation or Article 12(9) ISF Regulation or Article 13(9) AMIF Regulation*

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| **Table 3** |
| **Specific objective**  | **Type of intervention** | **Code** | **Indicative amount (Euro)** |
|  |  |  |  |

***2.1.4* Technical assistance**

*Reference: Article 17(3)(e); Article 30(5) CPR; Article 32 CPR; Article 89 CPR;*

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| *Text field [5 000] (Technical assistance pursuant to Article 30(5) CPR)* |
| *Text field [3000] (Technical assistance pursuant to Article 32 CPR)* |

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| **Table *4*** |
| **Type of intervention** | **Code** | **Indicative amount (Euro)** |
|  |  |  |

1. **Financial plan**

*Reference: Article 17(3)(f)*

**3.1. Financial appropriations by year**

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| --- |
| **Table *5*: *Financial appropriations by year*** |
| **Fund** | **2021** | **2022** | **2023** | **2024** | **2025** | **2026** | **2027** | **Total**  |
|  |  |  |  |  |  |  |  |  |

**3.2 Total financial allocations**

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| **Table *6:* *Total financial allocations by fund and national contribution*** |
| **Specific objective** | **Type of action** | **Basis for calculation EU support (total or public)** | ***~~EU~~ Union* contribution (a)** | **National contribution (b)=(c)+(d)** | **Indicative breakdown of national contribution** | **Total** **e=(a)+(b)** | **Co-financing rate (f)=(a)/(e)** |
| **public (c )** | **private (d)** |
| Specific objective 1 | *Actions co-financed in line with Article 11(1) of BMVI Regulation*  |  |  |  |  |  |  |  |
|  | *Actions co-financed in line with Article 11(2) BMVI Regulation* |  |  |  |  |  |  |  |
|  | *Actions co-financed in line with Article 11(3) of BMVI Regulation*  |  |  |  |  |  |  |  |
|  | *Actions co-financed in line with Article 11(4) of BMVI*  |  |  |  |  |  |  |  |
|  | *Actions co-financed in line with Article 11(4) of BMVI Regulation (Special Transit Scheme)*  |  |  |  |  |  |  |  |
|  | *Actions co-financed in line with Article 11(5) of BMVI Regulation or Article 12(5)*  |  |  |  |  |  |  |  |
| Total for SO 1 |  |  |  |  |  |  |  |  |
| SO 2 | *Actions co-financed in line with Article 11(1) of BMVI Regulation*  |  |  |  |  |  |  |  |
|  | *Actions co-financed in line with Article 11(2) of BMVI Regulation* |  |  |  |  |  |  |  |
|  | *Actions co-financed in line with Article 11(3) of BMVI Regulation* |  |  |  |  |  |  |  |
|  | *Actions co-financed in line with Article 11(4) of ISF or BMVI Regulation*  |  |  |  |  |  |  |  |
|  | *Actions co-financed in line with Article 11(5) of BMVI Regulation*  |  |  |  |  |  |  |  |
| Total for SO 2 |  |  |  |  |  |  |  |  |
| TA *pursuant to Article 30(5) CPR* |  |  |  |  |  |  |  |  |
| TA *pursuant to Article 32 CPR* |  |  |  |  |  |  |  |  |
| Grand total |  |  |  |  |  |  |  |  |

***[*Table 8 Transfers between shared management funds\**]***

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  *Receiving fund / instrument**Transferring fund /**instrument* | *AMIF* | *ISF* | *BMVI* | *ERDF* | *ESF+* | *CF* | *EMFF* | *Total*  |
| *AMIF* |  |  |  |  |  |  |  |  |
| *ISF* |  |  |  |  |  |  |  |  |
| *BMVI* |  |  |  |  |  |  |  |  |
| *Total* |  |  |  |  |  |  |  |  |

*\* Cumulative amounts for all transfers during programming period.*

|  |  |
| --- | --- |
| *[Table 9 Transfers to instruments under direct or indirect management\*]* | *Transfer amount*  |
| *Instrument 1[name]* |  |
| *Instrument 2[name]* |  |
| *Total* |  |

*\* Cumulative amounts for all transfers during programming period*

1. **Enabling conditions**

*Reference: Article 17(3)(h)*

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| **Table *10*** |
| **Enabling condition** | **Fulfilment of enabling condition**  | **Criteria**  | **Fulfilment of criteria**  | **Reference to relevant documents** | **Justification** |
|  |  | Criterion 1 | Y/N | [500] | [1000] |
|  |  | Criterion 2 |  |  |  |

1. **Programme authorities**

*Reference: Article 17(3)(j), Article 65 and 78 CPR*

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| --- | --- | --- | --- |
| **Table *11*** | **Name of the institution** [500] | **Contact name and position** [200] | **e-mail [200]** |
| Managing authority |  |  |  |
| Audit authority |  |  |  |
| Body which receives payments from the Commission |  |  |  |

1. **Partnership**

*Reference: Article 17(3)(g) CPR;*

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| *text field [10 000]*  |

1. **Communication and visibility**

*Reference: Article 17(3)(i) CPR, Article 42(2) CPR*

|  |
| --- |
| *Text field [4 500]* |

1. **Use of unit costs, lump sums, flat rates and financing not linked to costs**

*Reference: Articles 88 and 89 CPR*

|  |  |  |
| --- | --- | --- |
| *[Intended use of Articles 88 and 89][[3]](#footnote-3)* | ***YES*** | ***NO*** |
| *From the adoption programme will make use of reimbursement of the Union contribution based on unit costs, lump sums and flat rates under the priority according to Article 88 CPR (if yes, fill in Appendix 1)* | ***[ ]***  | ***[ ]***  |
| *From the adoption programme will make use of reimbursement of the Union contribution based on financing not linked to costs according to Article 89 CPR (if yes, fill in Appendix 2)* | ***[ ]***  | ***[ ]***  |

***Appendix 1:* [*Union contribution based on unit costs, lump sums and flat rates][[4]](#footnote-4)***

**Template for submitting data for the consideration of the Commission**

**(Article 88)**

|  |  |
| --- | --- |
| Date of submitting the proposal |  |
| Current version  |  |

**A. Summary of the main elements**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| ***Fund[[5]](#footnote-5)*** | ***Specific objective[[6]](#footnote-6)*** | **Estimated proportion of the total financial allocation within the priority to which the SCO will be applied in % (estimate)** | **Type(s) of operation** | **Corresponding indicator name(s)** | **Unit of measurement for the indicator** | **Type of SCO (standard scale of unit costs, lump sums or flat rates)** | **Corresponding standard scales of unit costs, lump sums or flat rates** |
|  |  |  | Code | Description | Code  | Description |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |

**B. Details by type of operation (to be completed for every type of operation)**

**Did the managing authority receive support from an external company to set out the simplified costs below?**

**If so, please specify which external company: Yes/No – Name of external company**

Types of operation:

|  |  |
| --- | --- |
| 1.1. Description of the operation type  |  |
| 1.2 *]*specific objective*~~)~~[[7]](#footnote-7)* |  |
| 1.3 Indicator name[[8]](#footnote-8) |  |
| 1.4 Unit of measurement for indicator |  |
| 1.5 Standard scale of unit cost, lump sum or flat rate |  |
| 1.6 Amount |  |
| 1.7 Categories of costs covered by unit cost, lump sum or flat rate |  |
| 1.8 Do these categories of costs cover all eligible expenditure for the operation? (Y/N) |  |
| 1.9 Adjustment(s) method  |  |
| 11.10 Verification of the achievement of the unit of measurement - describe what document(s) will be used to verify the achievement of the unit of measurement- describe what will be checked during management verifications (including on-the-spot), and by whom - describe what arrangements there are to collect and store the data/documents described |  |
| 1.11 Possible perverse incentives or problems caused by this indicator, how they could be mitigated, and the estimated level of risk |  |
| 1.12 Total amount (national and EU) expected to be reimbursed  |  |

**C: Calculation of the standard scale of unit costs, lump sums or flat rates*\****

*1.* Source of data used to calculate the standard scale of unit costs, lump sums or flat rates (who produced, collected and recorded the data; where the data are stored; cut-off dates; validation, etc.).

*2.* Please specify why the proposed method and calculation is relevant to the type of operation:

*3.* Please specify how the calculations were made, in particular including any assumptions made in terms of quality or quantities. Where relevant, statistical evidence and benchmarks should be used and attached to this annex in a format that is usable by the Commission.

*4*. Please explain how you have ensured that only eligible expenditure was included in the calculation of the standard scale of unit cost, lump sum or flat rate.

*5*. Assessment of the audit authority(ies) of the calculation methodology and amounts and the arrangements to ensure the verification, quality, collection and storage of data.

*\* Justifications on the underlying data, the calculation methodology and resulting rate or amount and related assessment by the audit authority [(in points 1, 3 and 5)] are not required when the simplified cost options submitted in this Appendix are established at Union level [(other policies or through the DA referred to in Article 88(4)].*

***Appendix 2:* *[Union contribution based on financing not linked to costs][[9]](#footnote-9)***

**Template for submitting data for the consideration of the Commission**

**(Article 89)**

|  |  |
| --- | --- |
| Date of submitting the proposal |  |
| Current version  |  |

**A. Summary of the main elements**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| ***Fund[[10]](#footnote-10)*** | ***Specific objective[[11]](#footnote-11)*** | ***The amount covered by the financing not linked to costs*** | **Type(s) of operation** | **Conditions to be fulfilled/results to be achieved** | **Corresponding indicator name(s)** | **Unit of measurement for the indicator** | ***[Envisaged reimbursement to the beneficiaries][[12]](#footnote-12)*** |
|  |  |  |  |  | Code  | Description |  |  |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |
| The overall amount covered |  |  |  |  |  |  |  |  |

**B. Details by type of operation (to be completed for every type of operation)**

Types of operation:

|  |  |
| --- | --- |
| 1.1. Description of the operation type  |  |
| 1.2 *S*pecific objective*[[13]](#footnote-13)* |  |
| 1.3 Conditions to be fulfilled or results to be achieved  |  |
| 1.4 Deadline for fulfilment of conditions or results to be achieved |  |
| 1.5 Indicator definition for deliverables |  |
| 1.6 Unit of measurement for indicator for deliverables |  |
| 1.7 Intermediate deliverables (if applicable) triggering reimbursement by the Commission with schedule for reimbursements | Intermediate deliverables  | Date | Amounts |
|  |  |  |
|  |  |  |
| 1.8 Total amount (including EU and national funding) |  |
| 1.9 Adjustment(s) method |  |
| 1.10 Verification of the achievement of the result or condition (and where relevant, the intermediate deliverables)- what document(s) will be used to verify the achievement of the result or condition?- describe what will be checked during management verifications (including on-the-spot), and by whom.- what arrangements to collect and store the data/documents described?  |  |
| 1.10a *Does the grant provided by Member State to beneficiaries take the form of financing not linked to costs? [Y/N][[14]](#footnote-14)* |  |
| 1.11 Arrangements to ensure the audit trail Please list the body(ies) responsible for these arrangements. |  |

*[Appendix 3: Thematic Facility][[15]](#footnote-15)*

|  |  |  |  |
| --- | --- | --- | --- |
| *Procedure reference / Specific objective* | *Modality: Specific action/ emergency assistance/ resettlement/ support to Member States contributing to solidarity*  | *Type of intervention*  | *EU contribution (EUR)*  |
| *<type='N' input='M'>* | *<type='S’ input='S'>* | *<type='S’ input='S'>* | *<type='N' input='M'>* |
| *Description of the action* | *[text]* |
| *Member State submits a thematic facility amendment /declines* | *Date: <type='N' input='M'>**Submit/Decline: <type='S’ input='S'>* |
| *Comment  (if Member State declines or if indicators targets and millstones are not updated a justification should be encoded; tables 3, 5 and 6 should be revised)* | *[text]* |

1. *Without prejudice to further alignment in relation to the outcome of interinstitutional negotiations on the articles of the CPR and the fund-specific regulations.* [↑](#footnote-ref-1)
2. *The Council’s partial mandate added this column.* [↑](#footnote-ref-2)
3. *The Council’s partial mandate replaced the table with a different one, in the same manner as in the Annex V.* [↑](#footnote-ref-3)
4. *The Council’s partial mandate changed the title of the appendix, linked to block 6.* [↑](#footnote-ref-4)
5. *Alignment with COM proposal for Annex VI* [↑](#footnote-ref-5)
6. *Alignment with COM proposal for Annex VI* [↑](#footnote-ref-6)
7. *The Council’s partial mandate deleted the text in [].* [↑](#footnote-ref-7)
8. Several complementary *common* indicators (for instance one output indicator and one result indicator) are possible for one type of operation. In these cases, fields 1.3 to 1.11 should be filled in for each indicator. [↑](#footnote-ref-8)
9. *The Council’s partial mandate amended the title, linked to block 6.* [↑](#footnote-ref-9)
10. *Alignment with COM proposal for Annex VI* [↑](#footnote-ref-10)
11. *Alignment with COM proposal for Annex VI* [↑](#footnote-ref-11)
12. *The Council partial mandate added this column.* [↑](#footnote-ref-12)
13. *Alignment to Annex VI* [↑](#footnote-ref-13)
14. *The Council’s partial mandate added point 1.10a, which COM is proposing to amend in order to improve clarity.* [↑](#footnote-ref-14)
15. *Pending agreed approach to the thematic facility under Fund-specific Regulations* [↑](#footnote-ref-15)